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## Locator/ID Separation Protocol Alternative Logical Topology (LISP+ALT)

### Abstract

This document describes a simple distributed index system to be used by a Locator/ID Separation Protocol (LISP) Ingress Tunnel Router (ITR) or Map-Resolver (MR) to find the Egress Tunnel Router (ETR) that holds the mapping information for a particular Endpoint Identifier (EID). The MR can then query that ETR to obtain the actual mapping information, which consists of a list of Routing Locators (RLOCs) for the EID. Termed the Alternative Logical Topology (ALT), the index is built as an overlay network on the public Internet using the Border Gateway Protocol (BGP) and Generic Routing Encapsulation (GRE).

### Status of This Memo

This document is not an Internet Standards Track specification; it is published for examination, experimental implementation, and evaluation.

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## 1. Introduction

This document describes the LISP+ALT system, used by an [RFC6830] Ingress Tunnel Router (ITR) or MR to find the Egress Tunnel Router (ETR) that holds the RLOC mapping information for a particular Endpoint Identifier (EID). The ALT network is built using the Border Gateway Protocol (BGP) [RFC4271], BGP multiprotocol extensions

[RFC4760], and Generic Routing Encapsulation (GRE) [RFC2784] to construct an overlay network of devices (ALT-Routers) that operate on EID-Prefixes and use EIDs as forwarding destinations.

ALT-Routers advertise hierarchically delegated segments of the EID namespace (i.e., prefixes) toward the rest of the ALT; they also forward traffic destined for an EID covered by one of those prefixes toward the network element that is authoritative for that EID and is the origin of the BGP advertisement for that EID-Prefix. An ITR uses this overlay to send a LISP Map-Request (defined in [RFC6830]) to the ETR that holds the EID-to-RLOC mapping for a matching EID-Prefix. In most cases, an ITR does not connect directly to the overlay network but instead sends Map-Requests via a Map-Resolver (described in [RFC6833]) that does. Likewise, in most cases, an ETR does not connect directly to the overlay network but instead registers its EID-Prefixes with a Map-Server that advertises those EID-Prefixes on to the ALT and forwards Map-Requests for them to the ETR.

It is important to note that the ALT does not distribute actual EID-to-RLOC mappings. What it does provide is a forwarding path from an ITR (or MR) that requires an EID-to-RLOC mapping to an ETR that holds that mapping. The ITR/MR uses this path to send an ALT Datagram (see Section 3) to an ETR, which then responds with a Map-Reply containing the needed mapping information.

One design goal for LISP+ALT is to use existing technology wherever possible. To this end, the ALT is intended to be built using off-the-shelf routers that already implement the required protocols (BGP and GRE); little, if any, LISP-specific modifications should be needed for such devices to be deployed on the ALT (see Section 7 for aggregation requirements). Note, though, that organizational and operational considerations suggest that ALT-Routers be both logically and physically separate from the "native" Internet packet transport system; deploying this overlay on those routers that are already participating in the global routing system and actively forwarding Internet traffic is not recommended.

This specification is experimental, and there are areas where further experience is needed to understand the best implementation strategy, operational model, and effects on Internet operations. These areas include:

- o application effects of on-demand route map discovery
- o tradeoff in connection setup time vs. ALT design and performance when using a Map Request instead of carrying initial user data in a Data-Probe

- o best practical ways to build ALT hierarchies
- o effects of route leakage from ALT to the current Internet, particularly for LISP-to-non-LISP interworking
- o effects of exceptional situations, such as denial-of-service (DoS) attacks

Experimentation, measurements, and deployment experience on these aspects is appreciated. While these issues are conceptually well-understood (e.g., an ALT lookup causes potential delay for the first packet destined to a given network), the real-world operational effects are much less clear.

The remainder of this document is organized as follows: Section 2 provides the definitions of terms used in this document. Section 3 outlines the LISP-ALT model, where EID-Prefixes are advertised using BGP on an overlay network (the "ALT") and Map-Requests are forwarded across it. Section 4 provides a basic overview of the LISP Alternative Logical Topology architecture, and Section 5 describes how the ALT uses BGP to propagate EID reachability over the overlay network. Section 6 describes other considerations for using BGP on the ALT. Section 7 describes the construction of the ALT aggregation hierarchy, and Section 8 discusses how LISP-ALT elements are connected to form the overlay network. Section 9 discusses security considerations relevant to LISP+ALT.

## 2. Definition of Terms

This section provides high-level definitions of LISP concepts and components involved with and affected by LISP+ALT.

**Alternative Logical Topology (ALT):** The virtual overlay network made up of tunnels between LISP-ALT Routers. The Border Gateway Protocol (BGP) runs between ALT-Routers and is used to carry reachability information for EID-Prefixes. The ALT provides a way to forward Map-Requests (and, if supported, Data-Probes) toward the ETR that "owns" an EID-Prefix. As a tunneled overlay, its performance is expected to be quite limited, so using it to forward high-bandwidth flows of Data-Probes is strongly discouraged (see Section 3.3 for additional discussion).

**ALT-Router:** The device that runs on the ALT. The ALT is a static network built using tunnels between ALT-Routers. These routers are deployed in a roughly hierarchical mesh in which routers at each level in the topology are responsible for aggregating EID-Prefixes learned from those logically "below" them and advertising summary prefixes to those logically "above" them.

Prefix learning and propagation between ALT-Routers is done using BGP. An ALT-Router at the lowest level, or "edge" of the ALT, learns EID-Prefixes from its "client" ETRs. See Section 3.1 for a description of how EID-Prefixes are learned at the "edge" of the ALT. See also Section 6 for details on how BGP is configured between the different network elements. When an ALT-Router receives an ALT Datagram, it looks up the destination EID in its forwarding table (composed of EID-Prefix routes it learned from neighboring ALT-Routers) and forwards it to the logical next hop on the overlay network.

**Endpoint ID (EID):** A 32-bit (for IPv4) or 128-bit (for IPv6) value used to identify the ultimate source or destination for a LISP-encapsulated packet. See [RFC6830] for details.

**EID-Prefix:** A set of EIDs delegated in a power-of-two block. Information about EID-Prefixes is exchanged among ALT-Routers (not on the global Internet) using BGP, and EID-Prefixes are expected to be assigned in a hierarchical manner such that they can be aggregated by ALT-Routers. Such a block is characterized by a prefix and a length. Note that while the ALT routing system considers an EID-Prefix to be an opaque block of EIDs, an end site may put site-local, topologically relevant structure (subnetting) into an EID-Prefix for intra-site routing.

**Aggregated EID-Prefixes:** A set of individual EID-Prefixes that have been aggregated in the [RFC4632] sense.

**Map-Server (MS):** An edge ALT-Router that provides a registration function for non-ALT-connected ETRs, originates EID-Prefixes into the ALT on behalf of those ETRs, and forwards Map-Requests to them. See [RFC6833] for details.

**Map-Resolver (MR):** An edge ALT-Router that accepts an Encapsulated Map-Request from a non-ALT-connected ITR, decapsulates it, and forwards it on to the ALT toward the ETR that owns the requested EID-Prefix. See [RFC6833] for details.

**Ingress Tunnel Router (ITR):** A router that sends LISP Map-Requests or encapsulates IP datagrams with LISP headers, as defined in [RFC6830]. In this document, "ITR" refers to any device implementing ITR functionality, including a Proxy-ITR (see [RFC6832]). Under some circumstances, a LISP Map-Resolver may also originate Map-Requests (see [RFC6833]).

Egress Tunnel Router (ETR): A router that sends LISP Map-Replies in response to LISP Map-Requests and decapsulates LISP-encapsulated IP datagrams for delivery to end-systems, as defined in [RFC6830]. In this document, "ETR" refers to any device implementing ETR functionality, including a Proxy-ETR (see [RFC6832]). Under some circumstances, a LISP Map-Server may also respond to Map-Requests (see [RFC6833]).

Routing Locator (RLOC): A routable IP address for a LISP Tunnel Router (ITR or ETR). Interchangeably referred to as a "locator" in this document. An RLOC is also the output of an EID-to-RLOC mapping lookup; an EID-Prefix maps to one or more RLOCs. Typically, RLOCs are numbered from topologically aggregatable blocks that are assigned to a site at each point where it attaches to the global Internet; where the topology is defined by the connectivity of provider networks, RLOCs can be thought of as Provider-Assigned (PA) addresses. Routing for RLOCs is not carried on the ALT.

EID-to-RLOC Mapping: A binding between an EID-Prefix and the set of RLOCs that can be used to reach it; sometimes simply referred to as a "mapping".

EID-Prefix Reachability: An EID-Prefix is said to be "reachable" if at least one of its Locators is reachable. That is, an EID-Prefix is reachable if the ETR that is authoritative for a given EID-to-RLOC mapping is reachable.

Default Mapping: A mapping entry for EID-Prefix 0.0.0.0/0 (::/0 for IPv6). It maps to a Locator-Set used for all EIDs in the Internet. If there is a more-specific EID-Prefix in the map-cache, it overrides the Default Mapping entry. The Default Mapping entry can be learned by configuration or from a Map-Reply message.

ALT Default Route: An EID-Prefix value of 0.0.0.0/0 (or ::/0 for IPv6) that may be learned from the ALT or statically configured on an edge ALT-Router. The ALT Default Route defines a forwarding path for a packet to be sent into the ALT on a router that does not have a full ALT forwarding database.

### 3. The LISP-ALT Model

The LISP-ALT model uses the same basic query/response protocol that is documented in [RFC6830]. In particular, LISP+ALT provides two types of packets that an ITR can originate to obtain EID-to-RLOC mappings:

**Map-Request:** A Map-Request message is sent into the ALT to request an EID-to-RLOC mapping. The ETR that owns the mapping will respond to the ITR with a Map-Reply message. Since the ALT only forwards on EID destinations, the destination address of the Map-Request sent on the ALT must be an EID.

**Data-Probe:** Alternatively, an ITR may encapsulate and send the first data packet destined for an EID with no known RLOCs into the ALT as a Data-Probe. This might be done to minimize packet loss and to probe for the mapping. As above, the authoritative ETR for the EID-Prefix will respond to the ITR with a Map-Reply message when it receives the data packet over the ALT. As a side-effect, the encapsulated data packet is delivered to the end-system at the ETR site. Note that the Data-Probe's inner IP destination address, which is an EID, is copied to the outer IP destination address so that the resulting packet can be routed over the ALT. See Section 3.3 for caveats on the usability of Data-Probes.

The term "ALT Datagram" is shorthand for a Map-Request or Data-Probe to be sent into or forwarded on the ALT. Note that such packets use an RLOC as the outer-header source IP address and an EID as the outer-header destination IP address.

Detailed descriptions of the LISP packet types referenced by this document may be found in [RFC6830].

#### 3.1. Routability of EIDs

A LISP EID has the same syntax as an IP address and can be used, unaltered, as the source or destination of an IP datagram. In general, though, EIDs are not routable on the public Internet; LISP+ALT provides a separate, virtual network, known as the LISP Alternative Logical Topology (ALT) on which a datagram using an EID as an IP destination address may be transmitted. This network is built as an overlay on the public Internet using tunnels to interconnect ALT-Routers. BGP runs over these tunnels to propagate path information needed to forward ALT Datagrams. Importantly, while the ETRs are the source(s) of the unaggregated EID-Prefixes, LISP+ALT uses existing BGP mechanisms to aggregate this information.

### 3.1.1. Mechanisms for an ETR to Originate EID-Prefixes

There are three ways that an ETR may originate its mappings into the ALT:

1. By registration with a Map-Server, as documented in [RFC6833]. This is the common case and is expected to be used by the majority of ETRs.
2. Using a "static route" on the ALT. Where no Map-Server is available, an edge ALT-Router may be configured with a "static EID-Prefix route" pointing to an ETR.
3. Edge connection to the ALT. If a site requires fine-grained control over how its EID-Prefixes are advertised into the ALT, it may configure its ETR(s) with tunnel and BGP connections to edge ALT-Routers.

### 3.1.2. Mechanisms for an ITR to Forward to EID-Prefixes

There are three ways that an ITR may send ALT Datagrams:

1. Through a Map-Resolver, as documented in [RFC6833]. This is the common case and is expected to be used by the majority of ITRs.
2. Using a "default route". Where a Map-Resolver is not available, an ITR may be configured with a static ALT Default Route pointing to an edge ALT-Router.
3. Edge connection to the ALT. If a site requires fine-grained knowledge of what prefixes exist on the ALT, it may configure its ITR(s) with tunnel and BGP connections to edge ALT-Routers.

### 3.1.3. Map-Server Model Preferred

The ALT-connected ITR and ETR cases are expected to be rare, as the Map-Server/Map-Resolver model is simpler for an ITR/ETR operator to use and also provides a more general service interface to not only the ALT but to other mapping databases that may be developed in the future.

### 3.2. Connectivity to Non-LISP Sites

As stated above, EIDs used as IP addresses by LISP sites are not routable on the public Internet. This implies that, absent a mechanism for communication between LISP and non-LISP sites, connectivity between them is not possible. To resolve this problem, an "interworking" technology has been defined; see [RFC6832] for details.

### 3.3. Caveats on the Use of Data-Probes

It is worth noting that there has been a great deal of discussion and controversy about whether Data-Probes are a good idea. On the one hand, using them offers a method of avoiding the "first packet drop" problem when an ITR does not have a mapping for a particular EID-Prefix. On the other hand, forwarding data packets on the ALT would require that it either be engineered to support relatively high traffic rates, which is not generally feasible for a tunneled network, or that it be carefully designed to aggressively rate-limit traffic to avoid congestion or DoS attacks. There may also be issues caused by different latency or other performance characteristics between the ALT path taken by an initial Data-Probe and the "Internet" path taken by subsequent packets on the same flow once a mapping is in place on an ITR. For these reasons, the use of Data-Probes is not recommended at this time; they should only be originated from an ITR when explicitly configured to do so, and such configuration should only be enabled when performing experiments intended to test the viability of using Data-Probes.

## 4. LISP+ALT: Overview

LISP+ALT is a hybrid push/pull architecture. Aggregated EID-Prefixes are advertised among the ALT-Routers and to those (rare) ITRs that are directly connected via a tunnel and BGP to the ALT. Specific EID-to-RLOC mappings are requested by an ITR (and returned by an ETR) using LISP when it sends a request either via a Map-Resolver or to an edge ALT-Router.

The basic idea embodied in LISP+ALT is to use BGP, running on a tunneled overlay network (the ALT), to establish reachability between ALT-Routers. The ALT BGP Routing Information Base (RIB) is comprised of EID-Prefixes and associated next hops. ALT-Routers interconnect using BGP and propagate EID-Prefix updates among themselves. EID-Prefix information is learned from ETRs at the "edge" of the ALT either through the use of the Map-Server interface (the common case), by static configuration, or by BGP-speaking ETRs.

Map-Resolvers learns paths through the ALT to Map-Servers for EID-Prefixes. An ITR will normally use a Map-Resolver to send its ALT Datagrams on to the ALT but may, in unusual cases (see Section 3.1.2), use a static ALT Default Route or connect to the ALT using BGP. Likewise, an ETR will normally register its prefixes in the mapping database using a Map-Server or can sometimes (see Section 3.1.1) connect directly to the ALT using BGP. See [RFC6833] for details on Map-Servers and Map-Resolvers.

Note that while this document specifies the use of Generic Routing Encapsulation (GRE) as a tunneling mechanism, there is no reason that parts of the ALT cannot be built using other tunneling technologies, particularly in cases where GRE does not meet security, management, or other operational requirements. References to "GRE tunnel" in later sections of this document should therefore not be taken as prohibiting or precluding the use of other tunneling mechanisms. Note also that two ALT-Routers that are directly adjacent (with no layer-3 router hops between them) need not use a tunnel between them; in this case, BGP may be configured across the interfaces that connect to their common subnet, and that subnet is then considered to be part of the ALT topology. The use of techniques such as "eBGP multihop" to connect ALT-Routers that do not share a tunnel or common subnet is not recommended, as the non-ALT routers in between the ALT-Routers in such a configuration may not have information necessary to forward ALT Datagrams destined to EID-Prefixes exchanged across that BGP session.

In summary, LISP+ALT uses BGP to build paths through ALT-Routers so that an ALT Datagram sent into the ALT can be forwarded to the ETR that holds the EID-to-RLOC mapping for that EID-Prefix. This reachability is carried as IPv4 or IPv6 Network Layer Reachability Information (NLRI) without modification (since an EID-Prefix has the same syntax as an IPv4 or IPv6 address prefix). ALT-Routers establish BGP sessions with one another, forming the ALT. An ALT-Router at the "edge" of the topology learns EID-Prefixes originated by authoritative ETRs. Learning may be through the Map-Server interface, by static configuration, or via BGP with the ETRs. An ALT-Router may also be configured to aggregate EID-Prefixes received from ETRs or from other LISP-ALT Routers that are topologically "downstream" from it.

#### 4.1. ITR Traffic Handling

When an ITR receives a packet originated by an end-system within its site (i.e., a host for which the ITR is the exit path out of the site) and the destination EID for that packet is not known in the ITR's map-cache, the ITR creates either a Map-Request for the destination EID or the original packet encapsulated as a Data-Probe

(see Section 3.3 for caveats on the usability of Data-Probes). The result, known as an ALT Datagram, is then sent to an ALT-Router (see also [RFC6833] for non-ALT-connected ITRs, noting that Data-Probes cannot be sent to a Map-Resolver). This "first-hop" ALT-Router uses EID-Prefix routing information learned from other ALT-Routers via BGP to guide the packet to the ETR that "owns" the prefix. Upon receipt by the ETR, normal LISP processing occurs: the ETR responds to the ITR with a LISP Map-Reply that lists the RLOCs (and, thus, the ETRs to use) for the EID-Prefix. For Data-Probes, the ETR also decapsulates the packet and transmits it toward its destination.

Upon receipt of the Map-Reply, the ITR installs the RLOC information for a given prefix into a local mapping database. With these mapping entries stored, additional packets destined to the given EID-Prefix are routed directly to an RLOC without use of the ALT, until either the entry's Time to Live (TTL) has expired or the ITR can otherwise find no reachable ETR. Note that a current mapping may exist that contains no reachable RLOCs; this is known as a Negative Cache Entry, and it indicates that packets destined to the EID-Prefix are to be dropped.

Full details on Map-Request/Map-Reply processing may be found in [RFC6830].

Traffic routed on to the ALT consists solely of ALT Datagrams, i.e., Map-Requests and Data-Probes (if supported). Given the relatively low performance expected of a tunneled topology, ALT-Routers (and Map-Resolvers) should aggressively rate-limit the ingress of ALT Datagrams from ITRs and, if possible, should be configured to not accept packets that are not ALT Datagrams.

#### 4.2. EID Assignment - Hierarchy and Topology

The ALT database is organized in a hierarchical manner with EID-Prefixes aggregated on power-of-2 block boundaries. Where a LISP site has multiple EID-Prefixes that are aligned on a power-of-2 block boundary, they should be aggregated into a single EID-Prefix for advertisement. The ALT network is built in a roughly hierarchical, partial mesh that is intended to allow aggregation where clearly defined hierarchical boundaries exist. Building such a structure should minimize the number of EID-Prefixes carried by LISP+ALT nodes near the top of the hierarchy.

Routes on the ALT do not need to respond to changes in policy, subscription, or underlying physical connectivity, so the topology can remain relatively static and aggregation can be sustained. Because routing on the ALT uses BGP, the same rules apply for generating aggregates; in particular, an ALT-Router should only be

configured to generate an aggregate if it is configured with BGP sessions to all of the originators of components (more-specific prefixes) of that aggregate. Not all of the components need to be present for the aggregate to be originated (some may be holes in the covering prefix, and some may be down), but the aggregating router must be configured to learn the state of all of the components.

Under what circumstances the ALT-Router actually generates the aggregate is a matter of local policy: in some cases, it will be statically configured to do so at all times with a "static discard" route. In other cases, it may be configured to only generate the aggregate prefix if at least one of the components of the aggregate is learned via BGP.

An ALT-Router must not generate an aggregate that includes a non-LISP-speaking hole unless it can be configured to return a Negative Map-Reply with action="Natively-Forward" (see [RFC6830]) if it receives an ALT Datagram that matches that hole. If it receives an ALT Datagram that matches a LISP-speaking hole that is currently not reachable, it should return a Negative Map-Reply with action="drop". Negative Map-Replies should be returned with a short TTL, as specified in [RFC6833]. Note that an off-the-shelf, non-LISP-speaking router configured as an aggregating ALT-Router cannot send Negative Map-Replies, so such a router must never originate an aggregate that includes a non-LISP-speaking hole.

This implies that two ALT-Routers that share an overlapping set of prefixes must exchange those prefixes if either is to generate and export a covering aggregate for those prefixes. It also implies that an ETR that connects to the ALT using BGP must maintain BGP sessions with all of the ALT-Routers that are configured to originate an aggregate that covers that prefix and that each of those ALT-Routers must be explicitly configured to know the set of EID-Prefixes that make up any aggregate that it originates. See also [RFC6833] for an example of other ways that prefix origin consistency and aggregation can be maintained.

As an example, consider ETRs that are originating EID-Prefixes for 10.1.0.0/24, 10.1.64.0/24, 10.1.128.0/24, and 10.1.192.0/24. An ALT-Router should only be configured to generate an aggregate for 10.1.0.0/16 if it has BGP sessions configured with all of these ETRs, in other words, only if it has sufficient knowledge about the state of those prefixes to summarize them. If the Router originating 10.1.0.0/16 receives an ALT Datagram destined for 10.1.77.88, a non-LISP destination covered by the aggregate, it returns a Negative Map-Reply with action "Natively-Forward". If it receives an ALT

Datagram destined for 10.1.128.199 but the configured LISP prefix 10.1.128.0/24 is unreachable, it returns a Negative Map-Reply with action "drop".

Note: Much is currently uncertain about the best way to build the ALT network; as testing and prototype deployment proceed, a guide to how to best build the ALT network will be developed.

#### 4.3. Use of GRE and BGP between LISP-ALT Routers

The ALT network is built using GRE tunnels between ALT-Routers. BGP sessions are configured over those tunnels, with each ALT-Router acting as a separate Autonomous System (AS) "hop" in a Path Vector for BGP. For the purposes of LISP+ALT, the AS-path is used solely as a shortest-path determination and loop-avoidance mechanism. Because all next hops are on tunnel interfaces, no IGP is required to resolve those next hops to exit interfaces.

LISP+ALT's use of GRE and BGP facilitates deployment and operation of LISP because no new protocols need to be defined, implemented, or used on the overlay topology; existing BGP/GRE tools and operational expertise are also re-used. Tunnel address assignment is also easy: since the addresses on an ALT tunnel are only used by the pair of routers connected to the tunnel, the only requirement of the IP addresses used to establish that tunnel is that the attached routers be reachable by each other; any addressing plan, including private addressing, can therefore be used for ALT tunnels.

#### 5. EID-Prefix Propagation and Map-Request Forwarding

As described in Section 8.2, an ITR sends an ALT Datagram to a given EID-to-RLOC mapping. The ALT provides the infrastructure that allows these requests to reach the authoritative ETR.

Note that under normal circumstances Map-Replies are not sent over the ALT; an ETR sends a Map-Reply to one of the ITR RLOCs learned from the original Map-Request. See Sections 6.1.2 and 6.2 of [RFC6830] for more information on the use of the Map-Request 'ITR RLOC Address' field. Keep in mind that the 'ITR RLOC Address' field supports multiple RLOCs in multiple address families, so a Map-Reply sent in response to a Map-Request is not necessarily sent back to the Map-Request RLOC source.

There may be scenarios, perhaps to encourage caching of EID-to-RLOC mappings by ALT-Routers, where Map-Replies could be sent over the ALT or where a "first-hop" ALT-Router might modify the originating RLOC on a Map-Request received from an ITR to force the Map-Reply to be

returned to the "first-hop" ALT-Router. These cases will not be supported by initial LISP+ALT implementations but may be subject to future experimentation.

ALT-Routers propagate path information via BGP ([RFC4271]) that is used by ITRs to send ALT Datagrams toward the appropriate ETR for each EID-Prefix. BGP is run on the inter-ALT-Router links, and possibly between an edge ("last-hop") ALT-Router and an ETR or between an edge ("first-hop") ALT-Router and an ITR. The ALT BGP RIB consists of aggregated EID-Prefixes and their next hops toward the authoritative ETR for that EID-Prefix.

#### 5.1. Changes to ITR Behavior with LISP+ALT

As previously described, an ITR will usually use the Map-Resolver interface and will send its Map Requests to a Map-Resolver. When an ITR instead connects via tunnels and BGP to the ALT, it sends ALT Datagrams to one of its "upstream" ALT-Routers; these are sent only to obtain new EID-to-RLOC mappings -- RLOC probe and cache TTL refresh Map-Requests are not sent on the ALT. As in basic LISP, it should use one of its RLOCs as the source address of these queries; it should not use a tunnel interface as the source address, as doing so will cause replies to be forwarded over the tunneled topology and may be problematic if the tunnel interface address is not routed throughout the ALT. If the ITR is running BGP with the LISP-ALT Router(s), it selects the appropriate ALT-Router based on the BGP information received. If it is not running BGP, it uses a statically configured ALT Default Route to select an ALT-Router.

#### 5.2. Changes to ETR Behavior with LISP+ALT

As previously described, an ETR will usually use the Map-Server interface (see [RFC6833]) and will register its EID-Prefixes with its configured Map-Servers. When an ETR instead connects using BGP to one or more ALT-Routers, it announces its EID-Prefix(es) to those ALT-Routers.

As documented in [RFC6830], when an ETR generates a Map-Reply message to return to a querying ITR, it sets the outer-header IP destination address to one of the requesting ITR's RLOCs so that the Map-Reply will be sent on the underlying Internet topology, not on the ALT; this avoids any latency penalty (or "stretch") that might be incurred by sending the Map-Reply via the ALT, reduces load on the ALT, and ensures that the Map-Reply can be routed even if the original ITR does not have an ALT-routed EID. For details on how an ETR selects which ITR RLOC to use, see Section 6.1.5 of [RFC6830].

### 5.3. ALT Datagram Forwarding Failure

Intermediate ALT-Routers forward ALT Datagrams using normal, hop-by-hop routing on the ALT overlay network. Should an ALT-Router not be able to forward an ALT Datagram, whether due to an unreachable next hop, TTL exceeded, or other problem, it has several choices:

- o If the ALT-Router understands LISP, as is the case for a Map-Resolver or Map-Server, it may respond to a forwarding failure by returning a Negative Map-Reply, as described in Section 4.2 and [RFC6833].
- o If the ALT-Router does not understand LISP, it may attempt to return an ICMP message to the source IP address of the packet that cannot be forwarded. Since the source address is an RLOC, an ALT-Router would send this ICMP message using "native" Internet connectivity, not via the ALT overlay.
- o A non-LISP-capable ALT-Router may also choose to silently drop the non-forwardable ALT Datagram.

[RFC6830] and [RFC6833] define how the source of an ALT Datagram should handle each of these cases. The last case, where an ALT Datagram is silently discarded, will generally result in several retransmissions by the source, followed by treating the destination as unreachable via LISP when no Map-Reply is received. If a problem on the ALT is severe enough to prevent ALT Datagrams from being delivered to a specific EID, this is probably the only sensible way to handle this case.

Note that the use of GRE tunnels should prevent MTU problems from ever occurring on the ALT; an ALT Datagram that exceeds an intermediate MTU will be fragmented at that point and will be reassembled by the target of the GRE tunnel.

## 6. BGP Configuration and Protocol Considerations

### 6.1. Autonomous System Numbers (ASNs) in LISP+ALT

The primary use of BGP today is to define the global Internet routing topology in terms of its participants, known as Autonomous Systems. LISP+ALT specifies the use of BGP to create a global overlay network (the ALT) for finding EID-to-RLOC mappings. While related to the global routing database, the ALT serves a very different purpose and is organized into a very different hierarchy. Because LISP+ALT does use BGP, however, it uses ASNs in the paths that are propagated among ALT-Routers. To avoid confusion, LISP+ALT should use newly assigned

AS numbers that are unrelated to the ASNs used by the global routing system. Exactly how this new space will be assigned and managed will be determined during the deployment of LISP+ALT.

Note that the ALT-Routers that make up the "core" of the ALT will not be associated with any existing core-Internet ASN because the ALT topology is completely separate from, and independent of, the global Internet routing system.

## 6.2. Subsequent Address Family Identifier (SAFI) for LISP+ALT

As defined by this document, LISP+ALT may be implemented using BGP without modification. Given the fundamental operational difference between propagating global Internet routing information (the current dominant use of BGP) and creating an overlay network for finding EID-to-RLOC mappings (the use of BGP as proposed by this document), it may be desirable to assign a new SAFI [RFC4760] to prevent operational confusion and difficulties, including the inadvertent leaking of information from one domain to the other. The use of a separate SAFI would make it easier to debug many operational problems but would come at a significant cost: unmodified, off-the-shelf routers that do not understand the new SAFI could not be used to build any part of the ALT network. At present, this document does not request the assignment of a new SAFI; additional experimentation may suggest the need for one in the future.

## 7. EID-Prefix Aggregation

To facilitate EID-Prefix aggregation, the ALT BGP topology is provisioned in a hierarchical manner; the fact that all inter-node links are tunnels means that topology can be constrained to follow the EID-Prefix assignment hierarchy. Redundant links are provisioned to compensate for node and link failures. A basic assumption is that as long as the routers are up and running, the underlying Internet will provide alternative routes to maintain tunnel and BGP connectivity among ALT-Routers.

Note that, as mentioned in Section 4.2, the use of BGP by LISP+ALT requires that information only be aggregated where all active more-specific prefixes of a generated aggregate prefix are known. This is no different than the way that BGP route aggregation works in the existing global routing system: a service provider only generates an aggregate route if it is configured to learn all prefixes that make up that aggregate.

### 7.1. Stability of the ALT

It is worth noting that LISP+ALT does not directly propagate EID-to-RLOC mappings. What it does is provide a mechanism for an ITR to communicate with the ETR that holds the mapping for a particular EID-Prefix. This distinction is important when considering the stability of BGP on the ALT network as compared to the global routing system. It also has implications for how site-specific EID-Prefix information may be used by LISP but not propagated by LISP+ALT (see Section 7.2 below).

RLOC prefixes are not propagated through the ALT, so their reachability is not determined through the use of LISP+ALT. Instead, reachability of RLOCs is learned through the LISP ITR-ETR exchange. This means that link failures or other service disruptions that may cause the reachability of an RLOC to change are not known to the ALT. Changes to the presence of an EID-Prefix on the ALT occur much less frequently: only at subscription time or in the event of a failure of the ALT infrastructure itself. This means that "flapping" (frequent BGP updates and withdrawals due to prefix state changes) is not likely and mapping information cannot become "stale" due to slow propagation through the ALT BGP mesh.

### 7.2. Traffic Engineering Using LISP

Since an ITR learns an EID-to-RLOC mapping directly from the ETR that owns it, it is possible to perform site-to-site Traffic Engineering by setting the preference and/or weight fields, and by including more-specific EID-to-RLOC information in Map-Reply messages.

This is a powerful mechanism that can conceivably replace the traditional practice of routing prefix deaggregation for Traffic Engineering purposes. Rather than propagating more-specific information into the global routing system for local or regional optimization of traffic flows, such more-specific information can be exchanged, through LISP (not LISP+ALT), on an as-needed basis between only those ITRs/ETRs (and, thus, site pairs) that need it. Such an exchange of "more-specifics" between sites facilitates Traffic Engineering by allowing richer and more fine-grained policies to be applied without advertising additional prefixes into either the ALT or the global routing system.

Note that these new Traffic Engineering capabilities are an attribute of LISP and are not specific to LISP+ALT; discussion is included here because the BGP-based global routing system has traditionally used propagation of more-specific routes as a crude form of Traffic Engineering.

### 7.3. Edge Aggregation and Dampening

Normal BGP best common practices apply to the ALT network. In particular, first-hop ALT-Routers will aggregate EID-Prefixes and dampen changes to them in the face of excessive updates. Since EID-Prefix assignments are not expected to change as frequently as global routing BGP prefix reachability, such dampening should be very rare and might be worthy of logging as an exceptional event. It is again worth noting that the ALT carries only EID-Prefixes, used to construct a BGP path to each ETR (or Map-Server) that originates each prefix; the ALT does not carry reachability information about RLOCs. In addition, EID-Prefix information may be aggregated as the topology and address assignment hierarchy allow. Since the topology is all tunneled and can be modified as needed, reasonably good aggregation should be possible. In addition, since most ETRs are expected to connect to the ALT using the Map-Server interface, Map-Servers will implement a natural "edge" for the ALT where dampening and aggregation can be applied. For these reasons, the set of prefix information on the ALT can be expected to be both better aggregated and considerably less volatile than the actual EID-to-RLOC mappings.

### 7.4. EID Assignment Flexibility vs. ALT Scaling

There are major open questions regarding how the ALT will be deployed and what organization(s) will operate it. In a simple, non-distributed world, centralized administration of EID-Prefix assignment and ALT network design would facilitate a well-aggregated ALT routing system. Business and other realities will likely result in a more complex, distributed system involving multiple levels of prefix delegation, multiple operators of parts of the ALT infrastructure, and a combination of competition and cooperation among the participants. In addition, the re-use of existing IP address assignments, both Provider-Independent ("PI") and Provider-Assigned ("PA"), to avoid renumbering when sites transition to LISP will further complicate the processes of building and operating the ALT.

A number of conflicting considerations need to be kept in mind when designing and building the ALT. Among them are:

1. Target ALT routing state size and level of aggregation. As described in Section 7.1, the ALT should not suffer from the same performance constraints or stability issues as does the Internet global routing system, so some reasonable level of deaggregation and an increased number of EID-Prefixes beyond what might be considered ideal should be acceptable. That said, measures, such as tunnel rehoming to preserve aggregation when sites move from one mapping provider to another and implementing aggregation at

multiple levels in the hierarchy to collapse deaggregation at lower levels, should be taken to reduce unnecessary explosion of ALT routing state.

2. Number of operators of parts of the ALT and how they will be organized (hierarchical delegation vs. shared administration). This will determine not only how EID-Prefixes are assigned but also how tunnels are configured and how EID-Prefixes can be aggregated between different parts of the ALT.
3. Number of connections between different parts of the ALT. Tradeoffs will need to be made among resilience, performance, and placement of aggregation boundaries.
4. EID-Prefix portability between competing operators of the ALT infrastructure. A significant benefit for an end site to adopt LISP is the availability of EID space that is not tied to a specific connectivity provider; it is important to ensure that an end site doesn't trade lock-in to a connectivity provider for lock-in to a provider of its EID assignment, ALT connectivity, or Map-Server facilities.

This is, by no means, an exhaustive list.

While resolving these issues is beyond the scope of this document, the authors recommend that existing distributed resource structures, such as the IANA/Regional Internet Registries and the ICANN/Domain Registrar, be carefully considered when designing and deploying the ALT infrastructure.

## 8. Connecting Sites to the ALT Network

### 8.1. ETRs Originating Information into the ALT

EID-Prefix information is originated into the ALT by three different mechanisms:

**Map-Server:** In most cases, a site will configure its ETR(s) to register with one or more Map-Servers (see [RFC6833]) and does not participate directly in the ALT.

**BGP:** For sites requiring complex control over their EID-Prefix origination into the ALT, an ETR may connect to the LISP+ALT overlay network by running BGP to one or more ALT-Routers over tunnel(s). The ETR advertises reachability for its EID-Prefixes over these BGP connection(s). The edge ALT-Router(s) that receive(s) these prefixes then propagate(s) them into the ALT.

Here, the ETR is simply a BGP peer of ALT-Router(s) at the edge of the ALT. Where possible, an ALT-Router that receives EID-Prefixes from an ETR via BGP should aggregate that information.

Configuration: One or more ALT-Routers may be configured to originate an EID-Prefix on behalf of the non-BGP-speaking ETR that is authoritative for a prefix. As in the case above, the ETR is connected to ALT-Router(s) using GRE tunnel(s), but rather than BGP being used, the ALT-Router(s) are configured with what are in effect "static routes" for the EID-Prefixes "owned" by the ETR. The GRE tunnel is used to route Map-Requests to the ETR.

Note: In all cases, an ETR may register to multiple Map-Servers or connect to multiple ALT-Routers for the following reasons:

- \* redundancy, so that a particular ETR is still reachable even if one path or tunnel is unavailable.
- \* to connect to different parts of the ALT hierarchy if the ETR "owns" multiple EID-to-RLOC mappings for EID-Prefixes that cannot be aggregated by the same ALT-Router (i.e., are not topologically "close" to each other in the ALT).

## 8.2. ITRs Using the ALT

In the common configuration, an ITR does not need to know anything about the ALT, since it sends Map-Requests to one of its configured Map-Resolvers (see [RFC6833]). There are two exceptional cases:

Static default: If a Map-Resolver is not available but an ITR is adjacent to an ALT-Router (either over a common subnet or through the use of a tunnel), it can use an ALT Default Route to cause all ALT Datagrams to be sent to that ALT-Router. This case is expected to be rare.

Connection to ALT: A site with complex Internet connectivity may need more fine-grained distinction between traffic to LISP-capable and non-LISP-capable sites. Such a site may configure each of its ITRs to connect directly to the ALT, using a tunnel and BGP connection. In this case, the ITR will receive EID-Prefix routes from its BGP connection to the ALT-Router and will LISP-encapsulate and send ALT Datagrams through the tunnel to the ALT-Router. Traffic to other destinations may be forwarded (without LISP encapsulation) to non-LISP next-hop routers that the ITR knows.

In general, an ITR that connects to the ALT does so only to ALT-Routers at the "edge" of the ALT (typically two for redundancy). There may, though, be situations where an ITR would connect to other ALT-Routers to receive additional, shorter-path information about a portion of the ALT of interest to it. This can be accomplished by establishing GRE tunnels between the ITR and the set of ALT-Routers with the additional information. This is a purely local policy issue between the ITR and the ALT-Routers in question.

As described in [RFC6833], Map-Resolvers do not accept or forward Data-Probes; in the rare scenario that an ITR does support and originate Data-Probes, it must do so using one of the exceptional configurations described above. Note that the use of Data-Probes is discouraged at this time (see Section 3.3).

## 9. Security Considerations

LISP+ALT shares many of the security characteristics of BGP. Its security mechanisms are comprised of existing technologies in wide operational use today, so securing the ALT should be mostly a matter of applying the same technology that is used to secure the BGP-based global routing system (see Section 9.3 below).

### 9.1. Apparent LISP+ALT Vulnerabilities

This section briefly lists the known potential vulnerabilities of LISP+ALT.

Mapping integrity: Potential for an attacker to insert bogus mappings to black-hole (create a DoS attack) or intercept LISP data-plane packets.

ALT-Router availability: Can an attacker DoS the ALT-Routers connected to a given ETR? If a site's ETR cannot advertise its EID-to-RLOC mappings, the site is essentially unavailable.

ITR mapping/resources: Can an attacker force an ITR or ALT-Router to drop legitimate mapping requests by flooding it with random destinations for which it will generate large numbers of Map-Requests and fill its map-cache? Further study is required to see the impact of admission control on the overlay network.

EID Map-Request exploits for reconnaissance: Can an attacker learn about a LISP site's TE policy by sending legitimate mapping requests and then observing the RLOC mapping replies? Is this information useful in attacking or subverting peer relationships? Note that any public LISP mapping database will have similar data-plane reconnaissance issues.

Scaling of ALT-Router resources: Paths through the ALT may be of lesser bandwidth than more "direct" paths; this may make them more prone to high-volume DoS attacks. For this reason, all components of the ALT (ETRs and ALT-Routers) should be prepared to rate-limit traffic (ALT Datagrams) that could be received across the ALT.

UDP Map-Reply from ETR: Since Map-Replies are sent directly from the ETR to the ITR's RLOC, the ITR's RLOC may be vulnerable to various types of DoS attacks (this is a general property of LISP, not a LISP+ALT vulnerability).

More-specific prefix leakage: Because EID-Prefixes on the ALT are expected to be fairly well-aggregated and EID-Prefixes propagated out to the global Internet (see [RFC6832]) much more so, accidental leaking or malicious advertisement of an EID-Prefix into the global routing system could cause traffic redirection away from a LISP site. This is not really a new problem, though, and its solution can only be achieved by much more strict prefix filtering and authentication on the global routing system. Section 9.3 describes an existing approach to solving this problem.

## 9.2. Survey of LISP+ALT Security Mechanisms

Explicit peering: The devices themselves can prioritize incoming packets as well as potentially do key checks in hardware to protect the control plane.

Use of TCP to connect elements: This makes it difficult for third parties to inject packets.

Use of HMAC to protect BGP/TCP connections: Hashed Message Authentication Code (HMAC) [RFC5925] is used to verify the integrity and authenticity of TCP connections used to exchange BGP messages, making it nearly impossible for third-party devices to either insert or modify messages.

Message sequence numbers and nonce values in messages: This allows an ITR to verify that the Map-Reply from an ETR is in response to a Map-Request originated by that ITR (this is a general property of LISP; LISP+ALT does not change this behavior).

### 9.3. Use of Additional BGP Security Mechanisms

LISP+ALT's use of BGP allows it to take advantage of BGP security features designed for existing Internet BGP use. This means that LISP+ALT can and should use technology developed for adding security to BGP (in the IETF SIDR working group or elsewhere) to provide authentication of EID-Prefix origination and EID-to-RLOC mappings.

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