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Native IPv6 behind IPv4-to-IPv4 NAT Customer Premises Equipment (6a44)

Abstract

In customer sites having IPv4-only Customer Premises Equipment (CPE), Teredo (RFC 4380, RFC 5991, RFC 6081) provides last-resort IPv6 connectivity. However, because it is designed to work without the involvement of Internet Service Providers, it has significant limitations (connectivity between IPv6 native addresses and Teredo addresses is uncertain; connectivity between Teredo addresses fails for some combinations of NAT types). 6a44 is a complementary solution that, being based on ISP cooperation, avoids these limitations. At the beginning of 6a44 IPv6 addresses, it replaces the Teredo well-known prefix, present at the beginning of Teredo IPv6 addresses, with network-specific /48 prefixes assigned by local ISPs (an evolution similar to that from 6to4 to 6rd (IPv6 Rapid Deployment on IPv4 Infrastructures)). The specification is expected to be complete enough for running code to be independently written and the solution to be incrementally deployed and used.

Status of This Memo

This document is not an Internet Standards Track specification; it is published for examination, experimental implementation, and evaluation.

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1. Introduction

Although most Customer Premises Equipment (CPE) should soon be dual-stack capable, a large installed base of IPv4-only CPEs is likely to remain for several years. Their operation is based on IPv4-to-IPv4 NATs (NAT44s). Also, due to the IPv4 address shortage, more and more Internet Service Providers (ISPs), and more and more mobile operators, will assign private IPv4 addresses ([RFC1918]) to their customers (the [NAT444] model). For rapid and extensive use of IPv6 [RFC2460], there is therefore a need for IPv6 connectivity behind NAT44s, including those of the [NAT444] model.

At the moment, there are two tunneling techniques specified for IPv6 connectivity behind NAT44s:

- o Configured tunnels. These involve tunnel brokers with which users must register [RFC3053]. Well-known examples include deployments of the Hexago tool, and the SixXS collaboration, which are suitable for IPv6 early trials. However, this approach is not adequate for mass deployment: it imposes the restriction that even if two hosts are in the same customer site, IPv6 packets between them must transit via tunnel servers, which may be far away.
- o Automatic Teredo tunnels [RFC4380] [RFC5991]. Teredo is specified as a last-resort solution that, due to its objective to work without local ISP involvement, has the following limitations:
 - * Connectivity between IPv6 native addresses and Teredo addresses is uncertain. (As explained in [RFC4380] Section 8.3, this connectivity depends on paths being available from all IPv6 native addresses to some Teredo relays. ISPs lack sufficient motivations to ensure it.)
 - * Between two Teredo addresses, IPv6 connectivity fails for some combinations of NAT44 types ([RFC6081] Section 3).
 - * According to [RFC4380] Section 5.2, each Teredo host has to be configured with the IPv4 address of a Teredo server (a constraint that can, however, be avoided in some implementations).

6a44 is designed to avoid Teredo limitations: with 6a44, ISPs can participate in the solution. The approach for this is similar to the approach that permitted 6rd [RFC5569] [RFC5969] to avoid the limitations of 6to4 [RFC3056] [RFC3068]: at the beginning of IPv6 addresses, the Teredo well-known prefix is replaced by network-specific prefixes assigned by local ISPs.

This document is organized as follows: terms used in the document are defined in Section 3; design goals and model of operation are presented in Section 4; Section 5 describes the format of 6a44 IPv6 addresses; Section 6 specifies in detail the behaviors of 6a44 clients and 6a44 relays; security and IANA considerations are covered in Sections 7 and 8, respectively.

This specification is expected to be complete enough for running code to be independently written and the solution to be incrementally deployed and used. Its status is Experimental rather than Standards Track, to reflect uncertainty as to which major Internet players may be willing to support it.

2. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

3. Definitions

The following definitions are used in this document:

MAJOR NEW DEFINITIONS

6a44 ISP network: An IPv4-capable ISP network that supports at least one 6a44 relay. Additional conditions are that it assigns individual IPv4 addresses to its customer sites (global or private), that it supports ingress filtering [RFC2827], and that its path MTUs are at least 1308 octets.

6a44 relay: A node that supports the 6a44 relay function defined in this document and that has interfaces to an IPv6-capable upstream network and to an IPv4-capable downstream network.

6a44 client: A host that supports the 6a44 client function defined in this document and has no means other than 6a44 to have an IPv6 native address.

6a44 tunnel: A tunnel established and maintained between a 6a44 client and 6a44 relays of its ISP network.

6a44 bubble: A UDP/IPv4 packet sent from a 6a44 client to the 6a44-relay address, or vice versa, and having a UDP payload that cannot be confused with an IPv6 packet. In the client-to-relay direction, it is a request for a response bubble. In the relay-to-client direction, it conveys the up-to-date IPv6 prefix of the client.

SECONDARY NEW DEFINITIONS

(This list is for reference and can be skipped by readers familiar with the usual terminology.)

6a44 service: The service offered by a 6a44 ISP network to its 6a44 clients.

6a44-client IPv6 address: The IPv6 address of a 6a44 client. It is composed of the client IPv6 prefix, received from a 6a44 relay, followed by the client local IPv4 address.

6a44-client IPv6 prefix: For a 6a44 client, the IPv6 prefix (/96) composed of the IPv6 prefix of the local 6a44 network (/48) followed by the UDP/IPv4 mapped address of the client (32 + 16 bits).

6a44-client UDP/IPv4 mapped address: For a 6a44 client, the external UDP/IPv4 address that, in the CPE NAT44 of the site, is that of its 6a44 tunnel.

6a44-client UDP/IPv4 local address: For a 6a44 client, the combination of its local IPv4 address and the 6a44 port.

6a44 port: UDP port 1027, reserved by IANA for 6a44 (see Section 8).

6a44-relay UDP/IPv4 address: The UDP/IPv4 address composed of the 6a44-relay anycast address and the 6a44 port.

6a44-relay anycast address: IPv4 anycast address 192.88.99.2, reserved by IANA for 6a44 (see Section 8).

6a44-network IPv6 prefix: An IPv6 /48 prefix assigned by an ISP to a 6a44 network.

USUAL DEFINITIONS

(This list is for reference and can be skipped by readers familiar with the usual terminology.)

Upstream direction: For a network border node, the direction toward the Internet core.

Downstream direction: For a network border node, the direction toward end-user nodes (opposite to the upstream direction).

IPv4 private address: An address that starts with one of the three [RFC1918] prefixes (10/8, 172.16/12, or 192.168/16).

IPv6 native address: An IPv6 global unicast address that starts with an aggregatable prefix assigned to an ISP.

UDP/IPv4 address: The combination of an IPv4 address and a UDP port.

UDP/IPv4 packet: A UDP datagram contained in an IPv4 packet.

IPv6/UDP/IPv4 packet: An IPv6 packet contained in a UDP/IPv4 packet.

4. Design Goals, Requirements, and Model of Operation

4.1. Hypotheses about NAT Behavior

6a44 is designed to work with NAT44 behaviors identified in Section 3 of [RFC6081]. In particular, it has to work with endpoint-dependent mappings as well as with endpoint-independent mappings, including cases where there are dynamic changes from one mode to the other.

The only assumption is that, after a mapping has been established in the NAT44, it is maintained as long as it is reused at least once, in each direction, every 30 seconds.

NOTE: 30 seconds is the value used for the same mapping-maintenance purpose in Teredo [RFC4380] and in SIP [RFC5626].

4.2. Native IPv6 Connectivity for Unmanaged Hosts behind NAT44s

The objective remains that, as soon as possible, CPEs and ISPs support IPv6 native prefixes. 6a44 is therefore designed only as a temporary solution for hosts to obtain IPv6 native addresses in sites whose CPEs are not IPv6 capable yet.

As noted in Section 1, IPv6 native addresses obtainable with configured tunnels have important limitations. However, compared to 6a44 addresses, they have the advantage of remaining unchanged in the case of NAT44 reset. 6a44 therefore remains the last-resort solution for IPv6 native addresses in unmanaged hosts of IPv4-only-CPE sites, while configured tunnels may still be preferred for some managed hosts if reported limitations of configured tunnels are judged to be acceptable. As their scopes are different, the two solutions can usefully coexist.

Note that Teredo remains a last-resort solution for hosts to have IPv6 addresses where IPv6 native addresses cannot be made available (and where Teredo limitations are judged to be acceptable).

4.3. Operational Requirements

Operational requirements of 6a44 include the following:

Robust IPv6 connectivity: A node having a 6a44 address must have paths across the Internet to and from all IPv6 native addresses that are not subject to voluntary firewall filtering.

Intra-site path efficiency: Packets exchanged between 6a44 clients that are behind the same CPE NAT44 must not have to traverse it. If these clients have IPv4 connectivity using their private IPv4 addresses, they must also have IPv6 connectivity using their 6a44 addresses.

Plug-and-play operation of 6a44 clients: In order to obtain a 6a44 address from its local ISP, a 6a44 client must need no parameter configuration.

Scalability of ISP functions: For the solution to be easily scalable, ISP-supported functions have to be completely stateless.

Anti-spoofing protection: Where address anti-spoofing is ensured in IPv4 with ingress filtering [RFC2827] [RFC3704], IPv6 addresses must benefit from the same degree of anti-spoofing protection.

Overall operational simplicity: To paraphrase what Antoine de Saint-Exupery said in [TheTool], "it seems that perfection is attained not when there is nothing more to add, but when there is nothing more to remove".

Incremental deployability: Hosts and ISP networks must be able to become 6a44 capable independently of each other. IPv6 must be operational where both are available, and there must be no perceptible effect where they are not both available.

In both configurations, the ISP network may also assign IPv6 prefixes to customer sites:

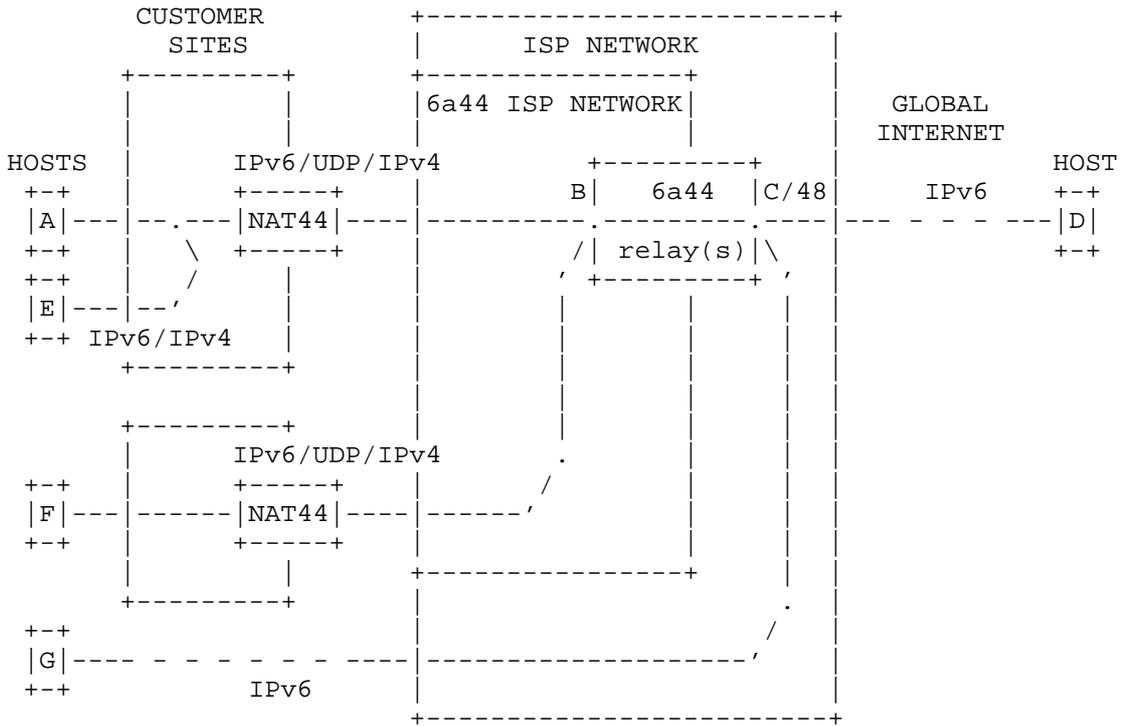
- o If customer sites are only assigned IPv4 addresses (IPv6 prefix available neither natively nor with any tunnel), 6a44 applies not only to sites whose CPEs are IPv4-only capable but also to those whose CPEs are dual-stack capable.
- o If customer sites are assigned both IPv4 addresses and IPv6 prefixes, 6a44 only applies to sites whose CPEs are IPv4-only capable.

Figure 2 illustrates paths of IPv6 packets between a 6a44 client, A, and various possible locations of remote hosts (E in the same site, F in another 6a44 site of the same ISP, G in a non-6a44 IPv6 site of the same ISP, D in an IPv6 site of another ISP). Between 6a44 clients of a same site, IPv6 packets are encapsulated in IPv4 packets. Those between 6a44 clients and 6a44 relays are encapsulated in UDP/IPv4 packets.

6a44 operates as follows (details in Section 6):

1. A 6a44 client starts operation by sending a 6a44 bubble to the 6a44-relay UDP/IPv4 address.
2. When a 6a44 relay receives a bubble from one of its 6a44 clients, it returns to this client a bubble containing the IPv6 prefix of this client.
3. When a 6a44 client receives a bubble from a 6a44 relay, it updates (or confirms) its 6a44 address. It is an update if the client has no IPv6 address yet or if, due to a CPE reset, this address has changed. After receiving a bubble, a client is ready to start, or to continue, IPv6 operation.
4. When a 6a44 client having a 6a44 address has an IPv6 packet to send whose destination IS in the same customer site, it encapsulates it in an IPv4 packet whose destination is found in the IPv6 destination address. It then sends the resulting IPv6/IPv4 packet.
5. When a 6a44 client receives a valid IPv6/IPv4 packet from a 6a44 client of the same site, it decapsulates the IPv6 packet and submits it to further IPv6 processing.

6. When a 6a44 client having a 6a44 address has an IPv6 packet to send whose destination IS NOT in the same customer site, it encapsulates the packet in a UDP/IPv4 packet whose destination is the 6a44-relay UDP/IPv4 address. It then sends the IPv6/UDP/IPv4 packet.
7. When a 6a44 relay receives via its IPv4 interface a valid IPv6/UDP/IPv4 packet whose destination IS one of its 6a44 clients, it forwards the contained IPv6 packet in a modified IPv6/UDP/IPv4 packet. The UDP/IPv4 destination of this packet is found in the IPv6 destination address.
8. When a 6a44 client receives a valid IPv6/UDP/IPv4 packet from a 6a44 relay, it decapsulates the IPv6 packet and submits it to further IPv6 processing.
9. When a 6a44 relay receives via its IPv4 interface a valid IPv6/UDP/IPv4 packet whose IPv6 destination IS NOT one of its 6a44 clients, it decapsulates the IPv6 packet and sends it via its IPv6 interface.
10. When a 6a44 relay receives via its IPv6 interface a valid IPv6 packet whose destination is one of its 6a44 clients, it encapsulates the packet in a UDP/IPv4 packet whose destination is the UDP/IPv4 address found in the IPv6 destination address. It then sends the resulting IPv6/UDP/IPv4 packet via its IPv4 interface.
11. To maintain the NAT44 mapping of its 6a44 tunnel, and to quickly detect the need to change its 6a44 address in case of NAT44 reset, a 6a44 client from time to time sends a bubble to the 6a44-relay address (see Section 6.5.1).
12. When a 6a44 relay receives via its IPv4 interface an IPv6/UDP/IPv4 packet whose IPv6 and UDP/IPv4 source addresses are not consistent, it discards the invalid packet and returns a bubble to the UDP/IPv4 source address. (This permits the 6a44 client at this address to update its IPv6 address.)



IPv6 PATHS A-D: D is IPv6 of another ISP
 A-E: E is a 6a44 client in the same site
 A-F: F is a 6a44 client in another site of the same ISP
 A-G: G is IPv6 of the same ISP, other than 6a44

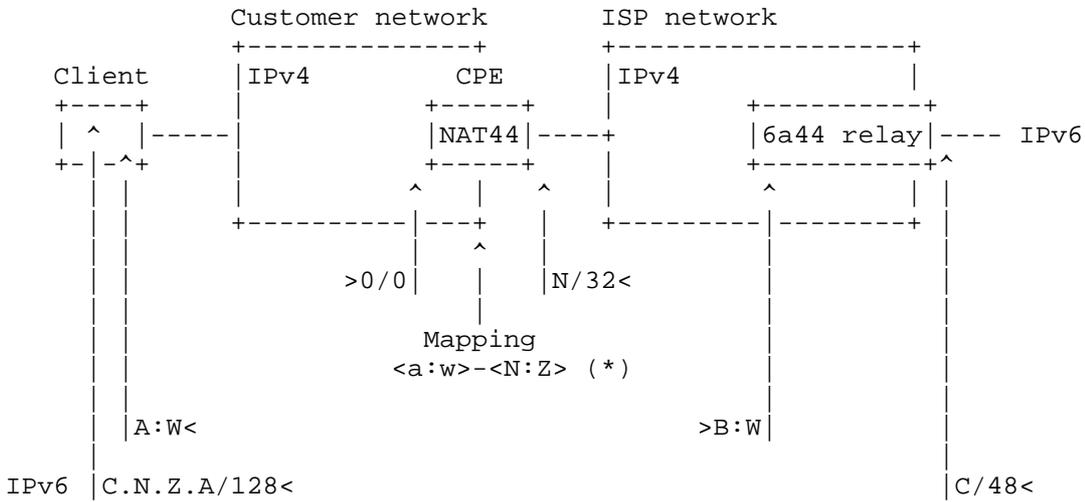
Figure 2: IPv6 Paths between 6a44 Hosts and Remote Hosts

5. 6a44 Addresses

The 6a44 IPv6 address an ISP assigns to a host must contain all pieces of information needed to reach it from other IPv6 addresses. These pieces are described below and illustrated in Figure 3:

- o the 6a44-network IPv6 prefix C (a /48 the ISP has assigned to its 6a44 relays);
- o the customer-site IPv4 address N (either global IPv4 or, if the ISP uses a [NAT444] model, private IPv4);

- o the mapped port Z of the 6a44 tunnel (i.e., the external port assigned by the NAT44 to the tunnel that the client maintains between its UDP/IPv4 local address A:W and the 6a44-relay UDP/IPv4 address B:W);
- o the client local IPv4 address A (i.e., the private IPv4 address assigned to the client in its customer site; it is needed for intra-site IPv6 connectivity).



(*) With NAT44(s) between client and CPE, a:w may differ from A:W

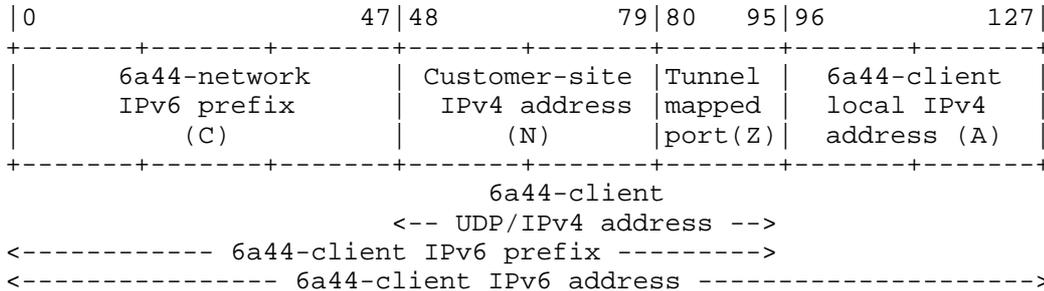


Figure 3: Host-Address Construction

NOTE: 6a44 addresses are not guaranteed to comply with the rule listed in [RFC4291], according to which bits 64-127 of aggregatable unicast addresses have to be in Modified-EUI-64 Interface Identifier (IID) format. However, these bits within the 6a44 addresses are interpreted only where 6a44 addresses are processed, i.e., in 6a44

relays and clients. No operational problem is therefore foreseen. Besides, because it is a purely transitional tool, it shouldn't prevent any "development of future technology that can take advantage of interface identifiers with universal scope" (the purpose of this format, as expressed in [RFC4291]).

6. Specification of Clients and Relays

6.1. Packet Formats

6.2. IPv6 Packet Encapsulations

For NAT44 traversal, an IPv6 packet transmitted from a 6a44 client to a 6a44 relay, or vice versa, is encapsulated in a UDP/IP packet whose source and destination addresses are those of the two endpoints (A:W and B:W in the notations of Figure 3). The IPv4 packet is that of a complete datagram (its more-fragment bit is set to 0, its offset is set to 0, and its datagram identification may be set to 0). The UDP checksum is set to 0 (there is no need for an additional layer of checksum protection). The length of the IPv6 packet SHOULD NOT exceed 1280 octets (see Section 6.4).

```

Octets: |0          |20 |28          |68          |
+-----+-----+-----+-----+//-----+
|  IPv4  |UDP|   IPv6 header  | IPv6 payload |
+-----+-----+-----+-----+//-----+

```

An IPv6 packet transmitted from a 6a44 client to another 6a44 client of the same site is encapsulated in an IPv4 packet whose source and destination addresses are the private IPv4 addresses of the two hosts. The IPv4 packet is that of a complete datagram (its more-fragment bit is set to 0, its offset is set to 0, and its datagram identification may be set to 0). The size of the IPv6 packet SHOULD NOT exceed 1280 octets (see Section 6.4).

```

Octets: |0          |20          |60          |
+-----+-----+-----+//-----+
|  IPv4  |   IPv6 header  | IPv6 payload |
+-----+-----+-----+//-----+

```

6.3. 6a44 Bubbles

A "bubble" is a UDP/IPv4 packet whose UDP payload is comprised of a "6a44-client IPv6 prefix" field and a "Bubble ID" field and whose UDP checksum is set to 0. Having no UDP checksum protection in bubbles is a simplification that is acceptable because bubble contents are

regularly updated and non-critical (a client accepting a corrupted IPv6 prefix never leads to any IPv6 packet being accepted by any wrong destination).

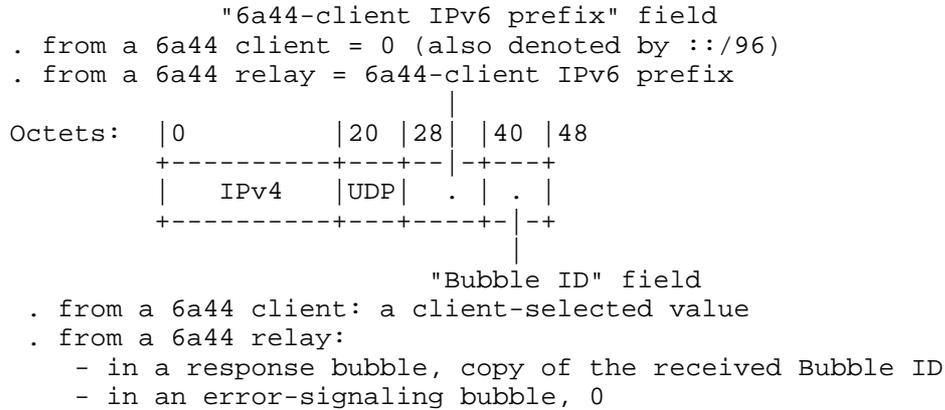


Figure 4: 6a44 Bubble Format

In a bubble from a 6a44 client to a 6a44 relay, the "6a44-client IPv6 prefix" field is only reserved space for the response and is set to 0. In a bubble from a 6a44 relay to a 6a44 client, this field contains the IPv6 prefix of the client, left-justified.

In a bubble from a 6a44 client to a 6a44 relay, the "Bubble ID" field contains a randomly chosen value, renewed under the circumstances defined in Section 6.5.1. In a bubble from a 6a44 relay to a 6a44 client, if the bubble is a response to a bubble received from the client, the field contains the value found in the received bubble; if the bubble is a reaction to a received IPv6/UDP/IPv4 packet whose IPv6 and UDP/IPv4 sources are inconsistent (i.e., not conforming to R44-2 condition (3) in Section 6.6.2), the field is set to 0. The purpose of this field is to protect against 6a44-relay spoofing attacks (see Section 7).

In order to preserve forward compatibility with any extension of bubble formats -- should one prove useful in the future -- 6a44 clients and 6a44 relays MUST be configured to receive bubbles whose UDP payload lengths are longer than 20 octets (up to that of an IPv6-packet header since, as detailed in Sections 6.5.3 and 6.6.2, bubbles are recognized by the fact that their lengths are shorter than that of tunneled IPv6 packets).

6.4. MTU Considerations

Reassembly of a fragmented IPv4 datagram necessitates that its identifier be remembered from reception of the first fragment to reception of the last one, and necessitates a timeout protection against packet losses. If such stateful IP-layer processing would be necessary for 6a44, it would make it more complex than needed, would introduce a vulnerability to denial-of-service attacks, and would impose the restriction that all fragments of a fragmented IPv4 datagram go to the same relay. This last point would be a constraint on how load balancing may be performed between multiple 6a44 relays, and would therefore be detrimental to scalability.

For 6a44 processing to remain completely stateless, IPv4 packets containing encapsulated IPv6 packets must never be fragmented (DF always set to 1). For this requirement to be met, the following apply:

- o In customer sites, 6a44 clients MUST have IPv4 link MTUs that support encapsulated IPv6 packets of lengths up to 1280 octets, i.e., for IPv6/UDP/IPv4 packets that traverse the CPE, link MTUs of at least $1280+20+8=1308$ octets. (This condition is in general satisfied.)
- o For the same reason, 6a44 ISP networks must have IPv4 path MTUs of at least 1308 octets. (This condition is in general satisfied.)
- o 6a44 clients SHOULD limit the size of IPv6 packets they transmit to 1280 octets.
- o 6a44 relays SHOULD set their IPv6 MTU to 1280. (If a relay receives an IPv6 packet longer than this MTU via its IPv6 upstream interface, it MUST return an ICMPv6 Packet Too Big error message.) Typical ISP networks have path MTUs that would permit IPv6 MTUs of 6a44 devices to be longer than 1280 octets, but accepting 1280 octets is a precaution that guarantees against problems with customer sites that may have internal path MTUs smaller than those supported by their ISP networks.

6.5. 6a44 Client Specification

6.5.1. Tunnel Maintenance

For a 6a44-client IPv6 address to remain valid, the port mapping of the 6a44 tunnel MUST be maintained in the CPE NAT44.

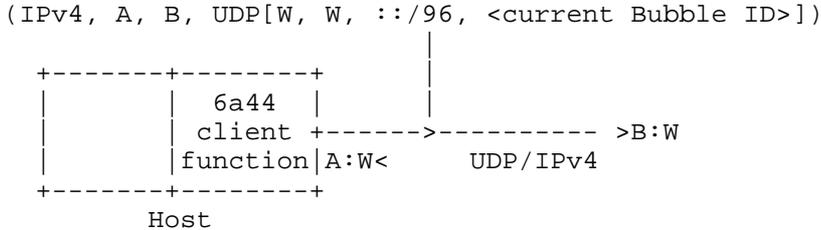
For this, the 6a44 client SHOULD apply the equivalent of the following TM-x rules, as illustrated in Figure 5.

- TM-1 At initialization, a timer value T1 is randomly chosen in the recommended range of 1 to 1.5 seconds, and the "6a44 disabled" state is entered. (Randomness of this value is a precaution to avoid the following scenario: if many hosts happened to be re-initialized at the same time, the bubble traffic resulting from the following rules would be synchronized.)
- TM-2 In the "6a44-disabled" state, if it appears that the interface has no IPv6 native address BUT has a private IPv4 address, then (1) the Attempt count (a local variable) is set to 1; (2) a new Bubble ID (another local variable) is randomly chosen (it is not critical how random this new value is, as explained in Section 7); (3) a bubble is sent with this Bubble ID; (4) the "Bubble sent" state is entered with the timer set to T1.
- TM-3 In the "Bubble sent" state, if the timer expires AND the Attempt count is less than 4, then (1) the Attempt count is increased by 1; (2) a new bubble is sent with the current Bubble ID; (3) the "Bubble sent" state is re-entered with the timer reset to T1.
- TM-4 In the "Bubble sent" state, if a bubble is received, then (1) the 6a44-client IPv6 address is set to the received 6a44-client IPv6 prefix followed by the host local IPv4 address; (2) the "Bubble received" state is entered with the timer set to T2, whose recommended value is 30 seconds minus 4 times T1.
- TM-5 In the "Bubble sent" state, if timer T1 expires AND the Attempt count is equal to 4, then the "No 6a44 relay" state is entered with the timer set to T3, whose recommended value is 30 minutes.
- TM-6 In the "Bubble sent" state, OR the "Bubble received" state, OR the "No 6a44 relay" state, if an IPv6 native address is obtained by some other means, OR if the private IPv4 address of the host is no longer valid, then (1) the timer is disarmed; (2) the "6a44 disabled" state is entered.
- TM-7 In the "Bubble received" state, if timer T2 expires, then (1) the Attempt count is reset to 1; (2) a new Bubble ID is randomly chosen; (3) a bubble is sent with this Bubble ID; (4) the "Bubble sent" state is entered with the timer set to T1.
- TM-8 In the "Bubble received" state, if a bubble is received, then the timer is reset to T2. (NOTE: Since a bubble is received by a 6a44 client either in response to a bubble it has sent or in

6.5.2. Client Transmission

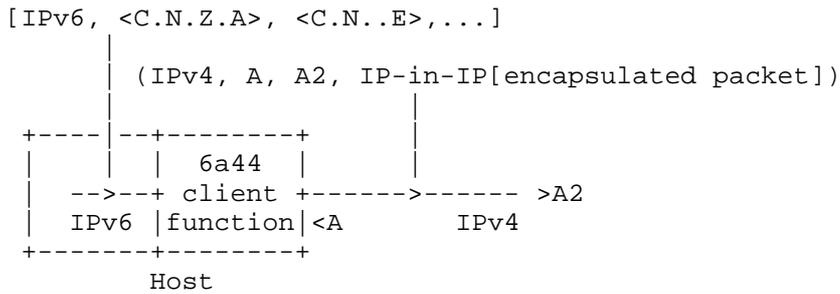
A 6a44 client transmits packets according to the following CT-x rules. In figures that illustrate these rules, symbols used in Section 5 are reused; packets are represented as a succession of significant fields separated by commas, with sources preceding destinations as usual; != means "different from".

CT-1 BUBBLE SENT BY A 6a44 CLIENT



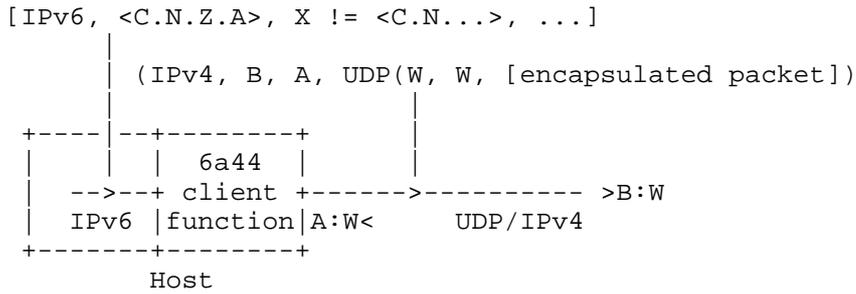
Bubbles are transmitted from time to time. Conditions of their transmission are specified in Section 6.5.1, and their format is specified in Section 6.3.

CT-2 IPv6/IPv4 PACKET SENT TO A HOST OF THE SAME SITE



If an IPv6 packet is submitted for transmission with ALL the following conditions satisfied, the 6a44 client MUST encapsulate the IPv6 packet in an IPv4 packet whose protocol is set to IP in IP (protocol = 41) and whose IPv4 destination is copied from the last 32 bits of the IPv6 destination: (1) the IPv6 source address is the 6a44-client IPv6 address; (2) the IPv6 destination is a 6a44 address of the same site (it has the same 80 bits as the 6a44-client IPv6 address); (3) either the IPv6 packet does not exceed 1280 octets, or it is longer but it does not exceed the IPv4 link MTU minus 20 octets and the IPv4 destination address starts with the IPv4 link prefix.

CT-3 IPv6/UDP/IPv4 PACKET TO A HOST OF ANOTHER SITE



If an IPv6 packet is submitted for transmission and ALL the following conditions are satisfied, the IPv6 packet MUST be encapsulated in a UDP/IPv4 packet whose destination is the 6a44-relay anycast address and whose source and destination ports are both the 6a44 port: (1) the source address is the local 6a44-client IPv6 address; (2) the destination is not a 6a44 address of the same site (its first 80 bits differ from those of the 6a44-client IPv6 address); (3) the IPv6 packet does not exceed 1280 octets.

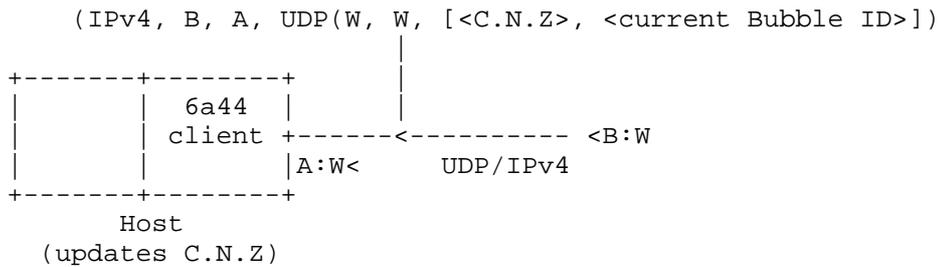
CT-4 IPv6 PACKET THAT DOESN'T CONCERN 6a44

If an IPv6 packet is submitted to the 6a44 client function for transmission with an IPv6 source address that is not the 6a44-client IPv6 address, the packet does not concern 6a44. It MUST be left for any other IPv6 transmission function that may apply (the source address can be a link-local address or a Unique Local Address (ULA) [RFC4193]).

6.5.3. Client Reception

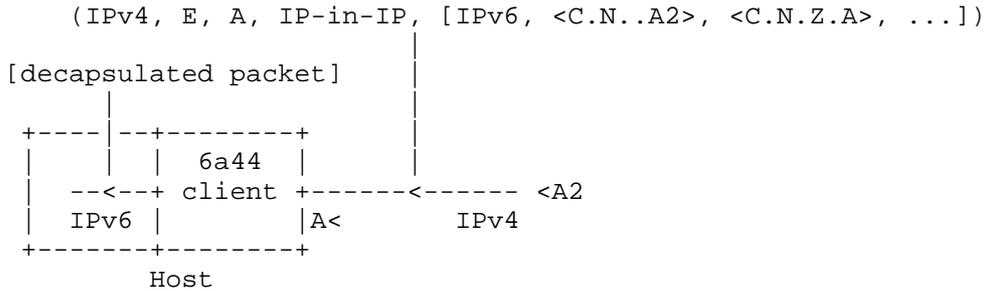
Upon reception of an IPv4 packet, a 6a44 client applies the following CR-x rules:

CR-1 BUBBLE RECEIVED FROM A 6a44 RELAY



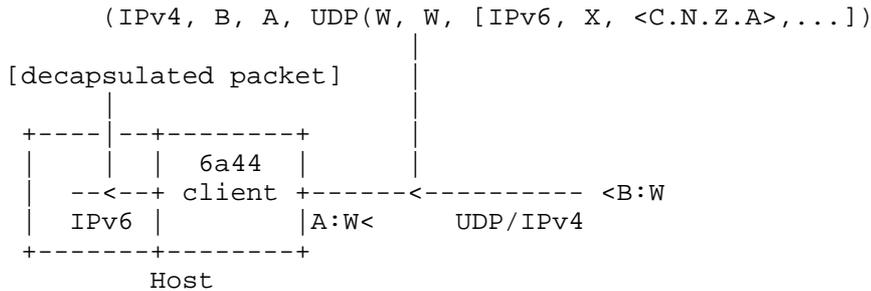
If ALL the following conditions are satisfied (i.e., the packet is a 6a44 bubble from a 6a44 relay), the 6a44-client IPv6 address MUST be updated using the received IPv6 prefix C.N.Z: (1) the IPv4 packet contains a complete UDP datagram (protocol = 17, offset = 0, more-fragment bit = 0); (2) both ports of the UDP datagram are the 6a44 port, and the payload length is enough to contain a 6a44-client IPv6 prefix and a Bubble ID but shorter than an IPv6-packet header (protocol = 17, UDP payload length = at least 20 octets and less than 40 octets); (3) the received Bubble ID matches the current value of the Bubble-ID local variable.

CR-2 IPv6/IPv4 PACKET FROM A HOST OF THE SAME SITE



If ALL the following conditions are satisfied (i.e., the packet comes from a 6a44 client of the same site), the 6a44 client MUST decapsulate the inner packet and treat it as a received IPv6 packet: (1) the IPv4 packet contains a complete UDP datagram (protocol = 17, offset = 0, more-fragment bit = 0); (2) both ports of the UDP datagram are the 6a44 port, and the UDP payload is an IPv6 packet (UDP length of at least 40 octets, version = 6); (3) the IPv6 source address is one of the same site (the first 80 bits match those of the 6a44-client IPv6 address; (4) its last 32 bits are equal to the IPv4 source address; (5) the IPv6 destination address is the 6a44-client IPv6 address.

CR-3 IPv6/UDP/IPv4 PACKET FROM A HOST OF ANOTHER SITE



If ALL the following conditions are satisfied (i.e., the packet has been relayed by a 6a44 relay), the 6a44 client MUST decapsulate the inner packet and treat it as a received IPv6 packet: (1) the IPv4 packet contains a complete UDP datagram (protocol = 17, offset = 0, more-fragment bit = 0); (2) the UDP payload is an IPv6 packet (length of at least 40 octets, version = 6); (3) the UDP/IPv4 source address is the 6a44-relay UDP/IPv4 address; (4) the IPv6 destination address is the 6a44-client IPv6 address.

CR-4 RECEIVED ICMPv4 ERROR MESSAGE CONCERNING A 6a44 PACKET

If the 6a44 client receives an IPv4 error message [RFC0792] that concerns a discarded 6a44 packet (i.e., if the copied header of the discarded packet is that of a transmitted packet according to CT-2 or CT-3), it SHOULD translate it into an ICMPv6 error message [RFC4443] and then treat it as a received IPv6 packet. Translation of Type and Code conversions between IPv4 and IPv6 is described in Section 4.2 of [RFC6145], under "ICMPv4 error messages".

CR-5 RECEIVED IPv4 PACKET OTHER THAN 6a44

If ANY one or more of the following conditions are verified, the received IPv4 packet does not concern 6a44 and MUST therefore be left for any other IPv4 reception function that may apply: (1) the IPv4 payload is neither UDP nor IPv6 (protocol = neither 17 nor 41, or protocol = 41 and IP version in the payload is not = 6); (2) the IPv4 packet is an IP-datagram fragment other than the first one (offset > 0); (3) the IPv4 packet contains the first or unique fragment of a UDP datagram (protocol = 17, offset = 0), with neither port equal to the 6a44 port.

6.6. 6a44 Relay Specification

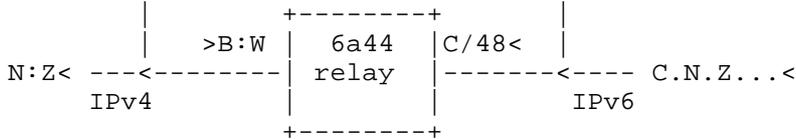
6.6.1. Relay Reception in IPv6

Upon reception of a packet via its IPv6 interface with a destination address starting with the 6a44-network IPv6 prefix, a 6a44 relay MUST apply the following RR6-x rules:

RR6-1 VALID IPv6 PACKET FROM OUTSIDE THE 6a44 ISP NETWORK

[IPv6, (X != <C...> AND != <Teredo(IPv4=B)>), <C.<N != B>.Z...>, ...]

(IPv4, B, N, UDP(W, Z, [encapsulated packet]))



If ALL the following conditions are satisfied, the IPv6 packet MUST be encapsulated in a UDP/IPv4 packet whose UDP/IPv4 destination is copied from bits 48 to 95 of the IPv6 destination address: (1) the IPv6 source address is not that of a 6a44 client of the ISP (it does not start with the 6a44-network IPv6 prefix); (2) the IPv6 source address is not a Teredo address whose embedded UDP/IPv4 address is the 6a44-relay anycast address; (3) the customer-site IPv4 address embedded in the 6a44 destination address is not the 6a44-relay anycast address; (4) the packet has at most 1280 octets.

RR6-2 INVALID IPv6 PACKET FROM OUTSIDE THE 6a44 ISP NETWORK

If ANY one or more of the following conditions are satisfied, the IPv6 packet MUST be discarded: (1) the packet has more than 1280 octets (in this case, an ICMPv6 Packet Too Big error message MUST be returned to the source); (2) the customer-site IPv4 address embedded in the IPv6 destination address is the 6a44-relay anycast address; (3) the IPv6 source address is a Teredo address whose embedded IPv4 address is the 6a44-relay anycast address.

6.7. Implementation of Automatic Sunset

6a44 is designed as an interim transition mechanism, not to be used any longer than strictly necessary. Its sole purpose is to accelerate availability of IPv6 native addresses where, for any reason, CPEs cannot quickly be replaced, or where, for any reason, ISP networks cannot quickly support dual-stack routing or 6rd.

A 6a44-capable ISP can first have an increase in its 6a44 traffic as more and more hosts behind IPv4-only CPEs support the 6a44 client function, but it should later have a decrease in this traffic as more and more CPEs operate in dual stack.

When this traffic becomes sufficiently negligible, the ISP may, after due prior notice, discontinue 6a44-relay operation. This terminates its sunset procedure.

In a host that obtains an IPv6 native address by some means other than 6a44, the effect of having the 6a44 function in its protocol stack is inexistent. OS providers may therefore keep this function in their code for many years. When it becomes clear that the number of users of this function has become negligible, they can delete it from later releases. This terminates their sunset procedure.

7. Security Considerations

Incoming reachability:

Hosts that acquire 6a44 addresses become reachable from the Internet in IPv6 while they remain unreachable in IPv4 at their private IPv4 addresses.

For ordinary use, this should not introduce a perceptible new security risk for two reasons: (1) hosts can, without IPv6, use NAT44 hole-punching techniques such as Interactive Connectivity Establishment (ICE) [RFC5245] to receive incoming connections; (2) by default, modern operating systems that support IPv6 have their own protections against incoming connections.

If 6a44 reachability across an ordinary NAT44 nevertheless has to be barred, this can be done by configuring its port-forwarding function with the 6a44 port bound to any internal address that is not assigned to any host. Thus, no bubble from a 6a44 relay can reach any 6a44-capable host, and this is sufficient to prevent hosts from using 6a44.

For more sophisticated uses with managed firewalls, default configurations generally specify that packets that are not explicitly authorized are discarded. Thus, 6a44 can be used only if the 6a44 port is deliberately opened to incoming traffic.

Subscriber authentication:

Any authentication that applies to an IPv4 address extends its effect to 6a44 addresses that are derived from it.

Host-address spoofing:

With ingress filtering required in 6a44 ISP networks, and with the address checks specified in Section 6, no new IPv6 address-spoofing vulnerability is introduced by 6a44.

Address-and-port scanning:

To mitigate the (limited) risk of a malicious user trying to scan IPv4 address/port pairs to reach a host, Teredo addresses contain 12 random bits [RFC5991]. 6a44 addresses have no random bits but contain local IPv4 addresses of clients. Since possible values of these addresses are not deterministically known from outside customer sites and are in ranges that can be configured in typical NAT44s, some protection against address and port scanning is thus achieved. This protection may be less effective than that achieved with random bits but is in any case better for 6a44 IPv6 addresses than for IPv4 addresses alone.

Denial of service:

Provided 6a44 relays are provisioned with enough processing power, which is facilitated by their being completely stateless, 6a44 introduces no denial-of-service vulnerabilities of its own.

Routing loops:

A risk of routing-loop attacks has been identified in [RFC6324]. Without taking precautions, it applies to some combinations of automatic-tunnel mechanisms such as 6to4, the Intra-Site Automatic Tunnel Addressing Protocol (ISATAP), 6rd, and Teredo. This risk does not exist with 6a44 for the following reasons:

1. When a packet enters a 6a44 relay via its IPv6 interface, the following apply:
 - + An IPv6/UDP/IPv4 packet cannot be sent to another 6a44 relay because its IPv4 destination would have to be a 6a44-relay IPv4 address. This is prevented by rule RR6-1 of Section 6.6.1.
 - + If an IPv6/UDP/IPv4 packet is sent to the address of a 6to4 relay, 6rd relay, or ISATAP relay, it will be discarded there because these relays don't accept UDP/IPv4 packets.
 - + If an IPv6/UDP/IPv4 packet is sent to a Teredo relay, it will be discarded there because (1) Teredo relays check that the IPv4 address that is embedded in the IPv6 source address of a received IPv6/IPv4 packet matches the IPv4 source address of the encapsulating packet (Section 5.4.2 of [RFC4380]); (2) encapsulating packets sent by 6a44 relays have the 6a44-relay anycast address as the IPv4 source address; (3) a 6a44 relay forwards a received IPv6 packet as an IPv6/UDP/IPv4 packet only if its IPv6 source address is not a Teredo address whose embedded IPv4 address is the 6a44-relay IPv4 address.
2. When a packet enters a 6a44 relay via its IPv4 interface, the following apply:
 - + The received packet cannot come from another 6a44 relay (as just explained, 6rd relays do not send IPv6/UDP/IPv4 packets to other 6a44 relays).
 - + If the IPv4 packet comes from a 6to4 relay, a 6rd relay, or an ISATAP relay, its IPv6 encapsulated packet cannot be forwarded (the received packet is IPv6/IPv4 instead of being IPv6/UDP/IPv4, as required by rules RR4-2 and RR4-3 of Section 6.6.2).
 - + If the received packet is an IPv6/UDP/IPv4 packet coming from a Teredo relay, this packet cannot have been sent to the Teredo relay by a 6a44 relay: (1) in order to reach the

6a44 relay, the IPv6 destination of the IPv6 encapsulated packet must be a Teredo address whose embedded IPv4 address is the 6a44-relay anycast address (Section 5.4.1 of [RFC4380]); (2) a 6a44 relay does not forward via its IPv6 interface an IPv6 packet whose destination is a Teredo address whose embedded IPv4 address is the 6a44-relay anycast address (rule RR4-3 of Section 6.6.2).

6a44-relay spoofing:

In a 6a44 network, no node can spoof a 6a44 relay because ingress filtering prevents any 6a44-relay anycast address from being spoofed.

In a network that does not support ingress filtering (and therefore is not a 6a44 network), the following apply:

- * 6a44 packets sent by 6a44-capable hosts are discarded in the IPv4 backbone because their IPv4 destination, the 6a44-relay anycast address, does not start with any ISP-assigned prefix.
- * If an attacker tries to send to a 6a44-capable host a fake relay-to-client bubble, the probability that it would be accepted by its destination is negligible. It would require that all the following conditions be simultaneously satisfied:
 - + The UDP/IPv4 destination set by the attacker must reach a NAT44 node in which it is the external mapping of a 6a44 tunnel established by a 6a44-capable host.
 - + This host must be in the "Bubble sent" state -- the only one in which it listens to bubbles when its ISP is not 6a44 capable. This state is taken only for a few seconds every 30 minutes (rule TM-5 of Section 6.5.1).
 - + This host accepts the bubble only if its Bubble ID has the right value -- an extremely unlikely possibility with a 64-bit randomly chosen Bubble ID (see Section 6.5.1).
- * If a 6a44-capable host -- despite this scenario being very unlikely -- accepts a fake bubble, the effect is that it wrongly believes, for about 30 seconds, that it has an assigned public IPv6 address. All IPv6 packets it then sends with this address as the source cannot be accepted by any destination (no relay will forward them, and no host of the same site will accept them). The consequences of this scenario would therefore not impair security.

8. IANA Considerations

IANA has assigned the following:

1. IPv4 address 192.88.99.2 as the 6a44-relay anycast address (B in this document).
2. UDP port 1027 as the 6a44 port (W in this document).

The choice of 192.88.99.2 as the 6a44 IPv4 anycast address doesn't conflict with any existing IETF specification because

- o it starts with the 6to4 prefix 192.88.99.0/24 [RFC3068].
- o it differs from the only currently assigned address that starts with this prefix (the anycast address of 6to4 relays -- 192.88.99.1 [RFC3068]).

This choice is made to permit implementations of 6a44 relays in physical nodes that are independent from any 6to4 relay or, if found to be more optimum, in nodes in which 6to4 relays and 6a44 relays are collocated.

9. Acknowledgments

This specification, whose origin is a convergence effort based on two independent proposals -- [6rd+] and [SAMPLE] -- has benefited from various suggestions. Comments have been received during this process, in particular from Dave Thaler, Fred Templin, Ole Troan, Olivier Vautrin, Pascal Thubert, Washam Fan, and Yu Lee. The authors wish to thank them, and all others, for their useful contributions. Special recognition is due to Dave Thaler and John Mann. Their detailed reviews led to a few useful modifications and editorial improvements.

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