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I2RS Ephemeral State Requirements  
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## Abstract

The I2RS (interface to routing system) Architecture document (RFC7920) abstractly describes a number of requirements for ephemeral state (in terms of capabilities and behaviors) which any protocol suite attempting to meet I2RS needs has to provide. This document describes in detail requirements for ephemeral state for those implementing the I2RS higher-protocol.

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## 1. Introduction

The Interface to the Routing System (I2RS) Working Group is chartered with providing architecture and mechanisms to inject into and retrieve information from the routing system. The I2RS Architecture document [RFC7921] abstractly documents a number of requirements for implementing the I2RS requirements. Section 2 reviews 10 key requirements related to ephemeral state.

The I2RS Working Group has chosen to use the YANG data modeling language [RFC6020] as the basis to implement its mechanisms.

Additionally, the I2RS Working group has chosen to re-use two existing protocols, NETCONF [RFC6241] and its similar but lighter-weight relative RESTCONF [I-D.ietf-netconf-restconf], as the protocols for carrying I2RS.

What does re-use of a protocol mean? Re-use means that while YANG, NETCONF and RESTCONF are a good starting basis for the I2RS protocol,

the creation of the I2RS protocol implementations requires that the I2RS requirements

1. select features from YANG, NETCONF, and RESTCONF per version of the I2RS protocol (See sections 4, 5, and 6)
2. propose additions to YANG, NETCONF, and RESTCONF per version of the I2RS protocol for key functions (ephemeral state, protocol security, publication/subscription service, traceability),
3. suggest protocol strawman (e.g. [I-D.hares-i2rs-protocol-strawman]) as ideas for the NETCONF, RESTCONF, and YANG changes.

The purpose of these requirements and the suggested protocol straw man is to provide a quick turnaround on creating the I2RS protocol.

Support for ephemeral state is an I2RS protocol requirement that requires datastore changes (see section 3), YANG additions (see section 4), NETCONF additions (see section 5), and RESTCONF additions (see section 6).

Sections 7-9 provide details that expand upon the changes in sections 3-6 to clarify requirements discussed by the I2RS and NETCONF working groups. Section 7 provided additional requirements that detail how write-conflicts should be resolved if two I2RS client write the same data. Section 8 details an additional requirement that details on I2RS support of multiple message transactions. Section 9 highlights two requirements in the I2RS publication/subscription requirements [RFC7923] that must be expanded for ephemeral state.

### 1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

## 2. Review of Requirements from I2RS architecture document

The I2RS architecture defines important high-level requirements for the I2RS protocol. The following are ten requirements that [RFC7921] contains which provide context for the ephemeral data state requirements given in sections 3-8:

1. The I2RS protocol SHOULD support highly reliable notifications (but not perfectly reliable notifications) from an I2RS agent to an I2RS client.

2. The I2RS protocol SHOULD support a high bandwidth, asynchronous interface, with real-time guarantees on getting data from an I2RS agent by an I2RS client.
3. The I2RS protocol will operate on data models which MAY be protocol independent or protocol dependent.
4. I2RS agent MUST record the client identity when a node is created or modified. The I2RS agent SHOULD be able to read the client identity of a node and use the client identity's associated priority to resolve conflicts. The secondary identity is useful for traceability and may also be recorded.
5. client identity MUST have only one priority for the client's identifier. A collision on writes is considered an error, but the priority associated with each client identifier is utilized to compare requests from two different clients in order to modify an existing node entry. Only an entry from a client which is higher priority can modify an existing entry (First entry wins). Priority only has meaning at the time of use.
6. The agent identity and the client identity SHOULD be passed outside of the I2RS protocol in a authentication and authorization protocol (AAA). client priority may be passed in the AAA protocol. The values of identities are originally set by operators, and not standardized.
7. An I2RS client and I2RS agent MUST mutually authenticate each other based on pre-established authenticated identities.
8. Secondary identity data is read-only meta-data that is recorded by the I2RS agent associated with a data model's node is written, updated or deleted. Just like the primary identity, the secondary identity SHOULD only be recorded when the data node is written or updated or deleted
9. I2RS agent MAY have a lower priority I2RS client attempting to modify a higher priority client's entry in a data model. The filtering out of lower priority clients attempting to write or modify a higher priority client's entry in a data model SHOULD be effectively handled and not put an undue strain on the I2RS agent.
10. The I2RS protocol MUST support the use of a secure transport. However, certain functions such as notifications MAY use a non-secure transport. Each model or service (notification, logging) must define within the model or service the valid uses of a non-secure transport.

### 3. Ephemeral State Requirements

In requirements Ephemeral-REQ-01 to Ephemeral-15, Ephemeral state is defined as potentially including in a data model ephemeral configuration and operational state which is flagged as ephemeral.

#### 3.1. Persistence

Ephemeral-REQ-01: I2RS requires ephemeral state; i.e. state that does not persist across reboots. If state must be restored, it should be done solely by replay actions from the I2RS client via the I2RS agent.

While at first glance this may seem equivalent to the writable-running data store in NETCONF, running-config can be copied to a persistent data store, like startup config. I2RS ephemeral state MUST NOT be persisted.

#### 3.2. Constraints

Ephemeral-REQ-02: Non-ephemeral state MUST NOT refer to ephemeral state for constraint purposes; it SHALL be considered a validation error if it does.

Ephemeral-REQ-03: Ephemeral state MUST be able to have constraints that refer to operational state, this includes potentially fast changing or short lived operational state nodes, such as MPLS LSP-ID or a BGP IN-RIB. Ephemeral state constraints should be assessed when the ephemeral state is written, and if any of the constraints change to make the constraints invalid after that time the I2RS agent should notify the I2RS client.

Ephemeral-REQ-04: Ephemeral state MUST be able to refer to non-ephemeral state as a constraint. Non-ephemeral state can be configuration state or operational state.

Ephemeral-REQ-05: I2RS pub-sub [RFC7923], tracing ([RFC7922], RPC or other mechanisms may lead to undesirable or unsustainable resource consumption on a system implementing an I2RS agent. It is RECOMMENDED that mechanisms be made available to permit prioritization of I2RS operations, when appropriate, to permit implementations to shed work load when operating under constrained resources. An example of such a work shedding mechanism is rate-limiting.

### 3.3. Hierarchy

Ephemeral-REQ-06: YANG MUST have the ability to do the following:

1. to define a YANG module or submodule schema that only contains data nodes with the property of being ephemeral, and
2. to augment a YANG data model with additional YANG schema nodes that have the property of being ephemeral.

### 3.4. Ephemeral Configuration overlapping Local Configuration

Ephemeral-REQ-07: Local configuration MUST have a priority that is comparable with individual I2RS client priorities for making changes. This priority will determine whether local configuration changes or individual ephemeral configuration changes take precedence as described in RFC7921. The I2RS protocol MUST support this mechanism.

## 4. YANG Features for Ephemeral State

Ephemeral-REQ-08: In addition to config true/false, there MUST be a way to indicate that YANG schema nodes represent ephemeral state. It is desirable to allow for, and have a way to indicate, config false YANG schema nodes that are writable operational state.

## 5. NETCONF Features for Ephemeral State

Ephemeral-REQ-09: The changes to NETCONF must include:

1. Support for communication mechanisms to enable an I2RS client to determine that an I2RS agent supports the mechanisms needed for I2RS operation.
2. The ephemeral state MUST support notification of write conflicts using the priority requirements defined in section 7 below in requirements Ephemeral-REQ-11 through Ephemeral-REQ-14).

## 6. RESTCONF Features for Ephemeral State

Ephemeral-REQ-10: The conceptual changes to RESTCONF are:

1. Support for communication mechanisms to enable an I2RS client to determine that an I2RS agent supports the mechanisms needed for I2RS operation.
2. The ephemeral state must support notification of write conflicts using the priority requirements defined in section 7 below in requirements Ephemeral-REQ-11 through Ephemeral-REQ-14).

## 7. Requirements regarding Supporting Multi-Head Control via client Priority

To support Multi-Headed Control, I2RS requires that there be a decidable means of arbitrating the correct state of data when multiple clients attempt to manipulate the same piece of data. This is done via a priority mechanism with the highest priority winning. This priority is per-client.

Ephemeral-REQ-11: The following requirements must be supported by the I2RS protocol I2RS Protocol (e.g. NETCONF/RESTCONF + yang) in order to support I2RS client identity and priority:

- o the data nodes MAY store I2RS client identity and not the effective priority at the time the data node is stored.
- o Per SEC-REQ-07 in section 4.3 of [I-D.ietf-i2rs-protocol-security-requirements], an I2RS Identifier MUST have just one priority. The I2RS protocol MUST support the ability to have data nodes store I2RS client identity and not the effective priority of the I2RS client at the time the data node is stored.
- o The priority MAY be dynamically changed by AAA, but the exact actions are part of the protocol definition as long as collisions are handled as described in Ephemeral-REQ-12, Ephemeral-REQ-13, and Ephemeral-REQ-14.

Ephemeral-REQ-12: When a collision occurs as two clients are trying to write the same data node, this collision is considered an error and priorities were created to give a deterministic result. When there is a collision, a notification (which includes indicating data node the collision occurred on) MUST BE sent to the original client to give the original client a chance to deal with the issues surrounding the collision. The original client may need to fix their state.

Explanation: RESTCONF and NETCONF updates can come in concurrently from alternative sources. Therefore the collision detection and comparison of priority needs to occur for any type of update.

For example, RESTCONF tracks the source of configuration change via the entity-Tag (section 3.5.2 of [I-D.ietf-netconf-restconf]) which the server returns to the client along with the value in GET or HEAD methods. RESTCONF requires that this resource entity-tag be updated whenever a resource or configuration resource within the resource is altered. In the RESTCONF processing, when the resource or a configuration resource within the resource is altered, then the

processing of the configuration change for two I2RS clients must detect an I2RS collision and resolve the collision using the priority mechanism.

Ephemeral-REQ-13: Multi-headed control is required for collisions and the priority resolution of collisions. Multi-headed control is not tied to ephemeral state. I2RS protocol MUST NOT mandate the internal mechanism for how AAA protocols (E.g. Radius or Diameter) or mechanisms distribute priority per identity except that any AAA protocols MUST operate over a secure transport layer (See Radius [RFC6614] and Diameter [RFC6733]). distribute priority per identity. Mechanisms which prevent collisions of two clients trying to modify the same node of data are the focus.

Ephemeral-REQ-14: A deterministic conflict resolution mechanism MUST be provided to handle the error scenario that two clients, with the same priority, update the same configuration data node. The I2RS architecture gives one way that this could be achieved, by specifying that the first update wins. Other solutions, that prevent oscillation of the config data node, are also acceptable.

## 8. Multiple Message Transactions

Ephemeral-REQ-15: Section 7.9 of the [RFC7921] states the I2RS architecture does not include multi-message atomicity and roll-back mechanisms. The I2RS protocol implementation MUST NOT require the support of these features. As part of this requirement, the I2RS protocol should support:

multiple operations in one or more messages; though errors in message or operation will have no effect on other messages or commands even they are related.

No multi-message commands SHOULD cause errors to be inserted into the I2RS ephemeral state.

## 9. Pub/Sub Requirements Expanded for Ephemeral State

I2RS clients require the ability to monitor changes to ephemeral state. While subscriptions are well defined for receiving notifications, the need to create a notification set for all ephemeral configuration state may be overly burdensome to the user.

There is thus a need for a general subscription mechanism that can provide notification of changed state, with sufficient information to permit the client to retrieve the impacted nodes. This should be doable without requiring the notifications to be created as part of every single I2RS module.



The publication/subscription requirements for I2RS are in [RFC7923], and the following general requirements SHOULD be understood to be expanded to include ephemeral state:

- o Pub-Sub-REQ-01: The Subscription Service MUST support subscriptions against ephemeral state in operational data stores, configuration data stores or both.
- o Pub-Sub-REQ-02: The Subscription Service MUST support filtering so that subscribed updates under a target node might publish only ephemeral state in operational data or configuration data, or publish both ephemeral and operational data.
- o Pub-Sub-REQ-03: The subscription service must support subscriptions which are ephemeral. (E.g. An ephemeral data model which has ephemeral subscriptions.)

## 10. IANA Considerations

There are no IANA requirements for this document.

## 11. Security Considerations

The security requirements for the I2RS protocol are covered in [I-D.ietf-i2rs-protocol-security-requirements] document. The security requirements for the I2RS protocol environment are in [I-D.ietf-i2rs-security-environment-reqs].

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